

Alabama

AIDS Rate per 100,000

10.0*

State Funds for HIV Early Intervention Services

State Expenditures	
Required Base	SFY 2008 Expenditures Maintenance
N/A	N/A
N/A = Not applicable	
SAPT EXPENDITURES	
FY 2006 HIV Set-Aside	FY 2009 Planned
\$1,188,117	\$1,188,116

FY 2010 SAPT Reports

Funds substance abuse providers in areas of highest HIV rates to provide early intervention services including pre/post testing counseling and testing.

HIV Early Intervention Services Provided							
Rapid Testing	Funding Rapid Testing	Regular HIV Testing	Pre-Test and Post-Test Counseling	Referral Services	Risk Assessment	HIV/AIDS Education	Outreach
		√	√			√	

State Narrative Summary

The Substance Abuse Services Division (SASD) contracted for treatment services, with a focus on HIV early intervention services, for people with substance abuse problems in areas of the State identified as having the greatest need for such services. Progress in FY 2008 included attending to issues of reimbursement, coding, and monitoring to facilitate provision of early intervention services, including regular HIV testing, pre/post test counseling, and HIV/AIDS education. In SFY 2008-2009, the SASD developed a process for on-site SAPT BG monitoring visits to enhance the current contractual and certification standards' requirements for all certified programs. The SASD is working with the Alabama Department of Public Health to develop strategies to coordinate HIV early intervention and substance abuse treatment to maximize effectiveness and efficiency, with the goal of identifying the appropriate role for each department to ensure that accessible and appropriate services are widely available.

*THE MOST RECENT DATA PUBLISHED PRIOR TO OCTOBER 1, 2008 BY THE CDC IS TABLE 14, REPORTED AIDS CASES AND ANNUAL RATES (PER 100,000 POPULATION), BY AREA OF RESIDENCE AND AGE CATEGORY, CUMULATIVE THROUGH 2005-UNITED STATES, HIV/AIDS SURVEILLANCE REPORT 2005 VOL. 17, U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES, CENTERS FOR DISEASE CONTROL AND PREVENTION, NATIONAL CENTER FOR HIV, STD, AND TB PREVENTION, DIVISION OF HIV/AIDS, PREVENTION, SURVEILLANCE, AND EPIDEMIOLOGY. SINGLE COPIES OF THE REPORT ARE AVAILABLE THROUGH THE CDC NATIONAL PREVENTION INFORMATION NETWORK, 800-458-5231 OR 301-562-1098 OR [HTTP://WWW.CDC.GOV/HIV/TOPICS/SURVEILLANCE/RESOURCES/REPORTS/2006REPORT/TABLE14.HTM](http://www.cdc.gov/hiv/topics/surveillance/resources/reports/2006report/table14.htm).

Full State Narrative

FY 2006 (COMPLIANCE)

The Substance Abuse Services Division will contract for the provision of treatment for persons with substance abuse problems with emphasis on making available within existing programs early intervention services for HIV in areas of the State that have the greatest need for such services and will monitor such service delivery.

FY 2008 (PROGRESS)

Activity: The HIV services defined in the Billing Manual will be provided through contract.

Current Status: Accomplished.

Activity: Reimbursement rates as specified in the Billing Manual will be used to reimburse community providers.

Current Status: Accomplished.

Activity: Contracts will be developed to facilitate the provision of the specified early intervention services in the areas of the state with the greatest need.

Current Status: Accomplished.

Activity: 5% of the Block Grant award will be made available, through community contracts, to provide Early Intervention Services for HIV. The services will be targeted to areas of the State with the highest rate of HIV positivity.

Current Status: Accomplished.

Activity: All HIV early intervention services will be monitored through the same specific coding and reporting system as all other services purchased by the Substance Abuse Services Division.

Current Status: Accomplished.

Activity: The certification standards requiring that all certified programs provide each client HIV risk education including prevention information will be enforced.

Current Status: Accomplished.

The following is suggested as a replacement for the current response in BGAS.

Reported Activity: The HIV Services defined in the Billing Manual will be provided through contract.

Current Status: The SASD defines HIV Early Intervention Services in the Contract Billing Manual (Attachment #1) as follows.

HIV Medical Assessment: Consultative services provided by a licensed physician regarding the test results or physical condition of a substance abuse treatment client participating in HIV Early Intervention Services.

Orasure HIV Test: An oral fluid (OraSure) test given to consenting (in writing) substance abuse treatment clients designed to confirm the presence of HIV of AID's. OraSure draws antibodies out of the cheek and gum in oral mucosal transudate.

HIV Pre-test Counseling: Pre-test counseling to prepare the client to take the HIV test and for the possible results of such a test.

HIV Individual Counseling: A one-on-one interaction between an individual substance abuse treatment client and a qualified substance abuse counselor or other HIV specially trained therapist designed to assist clients in dealing with test results, and/or modifying risky behavior designed to reduce the transmission of HIV.

HIV Group Counseling: A structured interaction of two or more substance abuse treatment clients with a qualified substance abuse counselor or other HIV specially trained therapist designed to assist clients in preparing for HIV testing, dealing with test results, and/or modifying risky behavior designed to reduce the transmission of HIV.

HIV Family Counseling: A structured interaction of the client and/or his family member(s) with a qualified substance abuse counselor or other HIV specially trained therapist designed to assist clients and their family

members in dealing with positive test results, and/or modifying risky behavior designed to reduce the transmission of HIV.

HIV Case Management: Case management is a service designed to assist substance abuse treatment clients who have tested positive for HIV/AIDS, in accessing a broader array of both physical and mental services, as appropriate, designed to prevent and treat the affects of HIV/AIDS. Case management includes needs assessment, case planning, crisis intervention, transportation, linkage, advocacy, client and significant other education, and follow-up.

HIV Early Intervention Services are also identified in the contract Exhibit SA-3, IV (Attachment #2).

Reported Activity: Reimbursement rates as specified in the Billing Manual will be used to reimburse community providers.

Current Status: In 1993 The SASD developed the Contract Billing Manual which includes billable HIV Services with their respective fee-for-service rates. These rates were developed based on an early Rate Setting Model developed by James E. Sorenson, Ph.D., CPA, William N. Zelman, Ph.D., CPA and Sasha Loring, M.Ed., for the State of Tennessee. The model required the identification of the cost of providing the care driven by staff and administrative overhead costs. The total cost was then divided by the anticipated level of production yielding a fee-for-service rate. Several cost-of-living rate adjustments have been made since the initial rates were established. Alabama is currently in the process of conducting a rate analysis.

The Contract Billing Manual (Attachment #1) includes a definition of the HIV Early Intervention Services, corresponding service codes and established unit rates are identified on Attachment #3.

Service	Code	Rate
HIV Medical Assessment	5980/99205 U6	\$104/hr.
Orasure HIV Test & Counseling	5970/86689 U6	\$59.02/test
HIV Individual Counseling	5950/H0047 U6 HR	\$72.80/hr.
HIV Group Counseling	5930/H0047 U6 HQ	\$20.80/hr.
HIV Family Counseling	5940/H0047 U6	\$20.80/hr.
HIV Case Management	5960/H0006 U6	\$37.44/hr.

Reported Activity: Contracts will be developed to facilitate the provision of the specified early intervention services in the areas of the state with the greatest need. This activity is being combined for reporting purposes with the next activity.

Reported Activity: 5% of the SAPT BG award will be made available, through community contracts, to provide Early Intervention Services for HIV. The services will be targeted to the areas with the highest rate of HIV positivity.

Current Status: Contracts were executed including the following amounts for HIV EIS during FY 2007-2008 in catchment areas with the highest rate of HIV positivity.

**CATCHMENT AREAS RANKED BY AIDS RATES PER 100,000 POPULATION 2005
(applicable to the 2008 SAPT BG)**

Rank	Catchment Area*	Counties	AIDS Rate
1	14	Autauga, Lowndes, Elmore, Montgomery	23.92

2	16	Washington, Mobile	17.94
3	15	Macon, Bullock, Pike	16.99
4	19	Barbour, Henry, Dale, Houston, Geneva	13.36
5	5	Jefferson, Blount, St. Clair	12.41
6	13	Perry, Dallas, Wilcox	9.94
7	12	Tallapoosa, Chambers, Lee, Russell	8.99
8	3	Madison	8.59
9	17	Clarke, Monroe, Conecuh, Escambia	7.72

* Counties combined based on population to define mental health areas of responsibility. Alabama has twenty-two Catchment Areas.

** 2005 AIDS rate per 100,000 by county as reported by the Alabama Department of Public Health.

*** Shaded areas represent HIV EIS contracts.

Six of the top nine catchment areas received 8 of the 9 contracts identified below.

Catchment Area*	Program	2007-2008 Contract Amount
15	East Central MHC	\$4,498
14	CAP	99,180
14	Lighthouse Counseling	104,000
5	Alcohol and Drug Treat.	390,000
5	UAB	247,520
16	Mobile MHC	277,680
3	Huntsville Madison MHC	16,198
13	Cahaba MHC	104,000
9	Cheaha MHC	78,000
Total		\$1,321,076

Reported Activity: All HIV early intervention services will be monitored through the same specific coding and reporting system as all other services purchased by the SASD.

Current Status: During the 2008 SAPT BG expenditure period the SASD monitored compliance for HIV Early Intervention Services through the billing and reporting system (SUDS) through June 30, 2008 and the Alabama Substance Information System (AS AIS) for the months of July through September. In addition, financial audit reviews and on-site certification visits are used to monitor HIV EIS services.

Every contract provider is required to bill monthly services to the SASD for reimbursement. The Contract Billing Manual (Attachment #1) includes a definition of the HIV Early Intervention Services, corresponding service codes and established unit rates.

SASD staff review each monthly billing for appropriateness prior to approval for payment. Adjustments are made to provider billings every month. The SASD has not had any audit findings in many years.

The DMH/MR requires every contract agency to submit an annual financial audit conducted by an independent CPA. Each audit includes a contract compliance report. The audits are submitted to the DMH/MR Contracts Office for review and follow-up if necessary. No recent audits included non-compliance reports regarding the provision of HIV Early Intervention Services. However, the Contracts Office routinely requires follow-up on deficiencies cited in audit reports.

HIV Early Intervention Services are monitored during every certification on-site visit through the application of the Certification Standards which include the following pertinent sections.

- Program Descriptions;
- Policies;
- Client Protection;
- Client Records;
- Quality Assurance Plan;
- Treatment and Rehabilitation Services;
- Intensive Outpatient; and
- General Outpatient.

HIV Early Intervention Services are documented in the individual client record and reviewed for compliance with all promulgated standards. Areas of non-compliance are identified for each program in a written report. Corrective actions are promptly identified by each program. If actions are satisfactory, certification is continued. During the 2008 SAPT BG expenditure period, the following on-site reviews were conducted and all non-compliant areas were corrected, therefore, certification was continued.

Program	County	Region	Date
Lighthouse Counseling Center	Montgomery	3	1/30/08
Mobile MHC	Mobile	4	5/14/08
East Central MHC	Pike	3	5/27/08

The SASD is in the process of implementing on-site SAPT BG monitoring visits designed to enhance the current contractual and certification standard's requirements. This process will be implemented during SFY

2008-2009. Progress of the newly implemented monitoring process will be reported in the 2010 SAPT BG Application.

Reported Activity: The certification standards requiring that all certified programs provide each client HIV risk education including prevention information will be enforced.

Current Status: The SASD continued the inclusion of a HIV related standard in the Community Certification Standards (Attachment #12).

#7104 Each program must demonstrate that it provides each client HIV risk education including prevention information.

This standard was applied in all of the following site visits that were conducted during the 2008 SAPT BG expenditure period.

FY 2009 (INTENDED USE)

Activity: The Contract Billing Manual will include HIV Early Intervention Services that are determined to be the most appropriate for Alabama. The Services will be developed and defined in conjunction with the Alabama Department of Public Health, HIV/AIDS Division utilizing guidance from the Center for Disease Control (CDC) and the Center for Substance Abuse Treatment (CSAT).

Activity: Reimbursement rates as specified in the Billing Manual will be used to reimburse community providers.

Activity: Contracts will be developed to facilitate the provision of the specified early intervention services in the areas of the state with the greatest need.

Activity: \$1,321,076 will be made available, through community contracts, to provide Early Intervention Services for HIV. The services will be targeted to areas of the State with the highest rate of HIV positivity.

Activity: All HIV early intervention services will be monitored through the same specific coding and reporting system as all other services purchased by the Substance Abuse Services Division.

Activity: The certification standards requiring that all certified programs provide each client HIV risk education including prevention information will be enforced.

Contracts are in effect for HIV Early Intervention Services with the following providers:

Contractor ID	Contractor	Value of Contract
M-13	Cahaba Mental Health Center, Selma	\$ 104,000
M-14	Chemical Addictions Program, Montgomery	\$ 99,180
M-15	East Central Mental Health Center, Troy	\$ 4,498
M-16	Mobile Mental Health Center, Mobile	\$ 277,680
M-3	Huntsville-Madison Mental Health Center, Huntsville	\$ 16,198
M-5	Alcoholism Recovery Service, Birmingham	\$ 390,000

M-5	UAB Substance Abuse Program, Birmingham	\$ 247,520
M-14	Lighthouse Counseling Center, Montgomery	\$ 104,000
M-9	Cheaha Mental Health Center	\$ 78,000
Total		\$1,321,076

The contracts are fee-for-service and the providers are certified through the SASD.

The SASD is working with the Alabama Department of Public Health to develop strategies to coordinate HIV Early Intervention (including testing) and substance abuse treatment to maximize effectiveness and efficiency. The goal is to identify the appropriate role for each department, including financial resources, to assure that accessible, appropriate services are available in as many locations as possible. The SASD has not ruled out the use of SAPT Block Grant funds for the implementation of rapid HIV testing technology, but will follow the lead of the Public Health Department.

HIV Early Intervention Services

During FFY 1995 the Substance Abuse Services Division (SASD), using information provided by the Alabama Department of Public Health, identified the mental health Catchment areas that had the highest HIV and AIDS positivity rate per 100,000 citizens. The Catchment area (M-16) including Mobile and Washington counties ranked number one. The Catchment area (M-5) including Jefferson, Blount, and St. Clair counties ranked number two. The Catchment area (M-14) including Montgomery, Elmore, Autauga, and Lowndes counties ranked number three.

During SFY 1995 contracts were entered into with the Alcoholism Recovery Service and the University of Alabama Substance Abuse Program to provide HIV Early Intervention Services in the M-5 Catchment area. A contract was entered into with the Mobile Mental Health Center to provide HIV Early Intervention Services in the M-16 Catchment area. Contracts were entered into with the Lighthouse Counseling Center and the Chemical Addictions Program to provide HIV Early Intervention Services in the M-14 Catchment area.

During SFY 1996 contracts were entered into with the East Central Mental Health Center for the M-15 Catchment area, the Cahaba Mental Health Center for the M13 Catchment area, and the Huntsville-Madison Mental Health Center for the M-3 Catchment area for the provision of HIV Early Intervention Services.

During SFY 1997 contracts were continued.

The AIDS positivity rate per 100,000 population for 1998, as reported by the Alabama Public Health Department, showed the following ranking of Mental Health Catchment Areas.

1. M-14 Montgomery Catchment Area
2. M-16 Mobile Catchment Area
3. M-5 Birmingham Catchment Area
4. M-19 Dothan Catchment Area
5. M-21 Baldwin County Catchment Area
6. M-2 North Central Catchment Area*
7. M-15 East Central Catchment Area

**Catchment Area #2 is an anomaly. Limestone Prison is located in Cullman County and is used to segregate HIV/AIDS positive inmates for the entire state. The Alabama Public Health Department is working to resolve this reporting problem.*

During FY's 1997-98, 1998-99, 1999-2000 and 2000-2001 the SASD contracted with four (M-14, M-16, M-5, and M-15) of the top ranking seven Catchment areas for the provision of HIV Early Intervention Services.

According to 2002 HIV/AIDS surveillance reports, the mental health catchment areas ranked as follows regarding rates of HIV/AIDS per 100,000 population.

- 8. M-15
- 9. M-14
- 10. M-5
- 11. M-19
- 12. M-16
- 13. M-7
- 14. M-12

During FY 2002-2003 and 2004-2005 contracts with four of the top ranking catchment areas were continued (M-15, M-14, M-5 AND M-16). The contracted services include pre-test counseling, testing and post-test counseling.

Alabama became a HIV designated state in 1995. At that time there were no funds, that were under the control of the Alabama Department of Mental Health or the Alabama Legislature, being spent to provide HIV services for substance abuse treatment clients. Therefore, the M.O.E. base for HIV has always been reported as zero.

During FY 2005-2006 the SASD contracted with six of the top ranking catchment areas (M-5, M-12, M-13, M-14, M-15 and M-16) in rate of new AIDS cases per 100,000 population.

During FY 2006-2007 and 2007-2008 the SASD contracted with community service providers in seven catchment areas with the highest rate of new AIDS cases per 100,000 population. Contracts are planned to be continued through FY 2008-2009.

All HIV services purchased by the Substance Abuse Services Division for substance abuse treatment clients have been reimbursed with SAPT Block Grant funds.

HIV Early Intervention Expenditures

Federal Fiscal Year	State Funds	SAPT Funds
FFY 1995	0	\$826,677.90
FFY 1996	0	\$851,081.00
FFY 1997	0	\$974,542.45
FFY 1998	0	\$974,542.45
FFY 1999	0	\$1,083,342.00
FFY 2000	0	\$1,109,865.00
FFY 2001	0	\$1,149,732.95

FFY 2002	0	\$1,191,400.00
FFY 2003	0	\$1,157,343.63
FFY 2004	0	\$1,248,910.00
FFY 2005	0	\$1,039,630.00
FFY 2006	0	\$1,185,861.00
FFY 2007	0	\$1,188,387.00

Revisions: The process as established in Attachment E of the 2009 application was continued. The process includes a system for monitoring and referral for those clients deemed to need testing.

HIV Early Intervention Programs Receiving Funds				
Program	Status	Address	Phone	Funds
Alcohol and Drug Abuse Treatment Centers	A	2701 Jefferson Avenue SW, Birmingham, AL 35211	205-923-6552	\$370,900
AltaPointe Health Systems	A	4211 Government Boulevard, Mobile, AL 93	251-666-2569	\$226,924
Cahaba Center for Substance Abuse Services	A	912 Jeff Davis Avenue, Selma, AL 36701	334-874-2600	\$104,000
Cheaha Regional Mental Health Caradale, Lodge Mental Retardation Board	A	1721 Old Birmingham Highway, Sylacauga, AL 35150	256-249-2395	\$50,086
Chemical Addictions Program, Adolescent Intensive Outpatient Program	A	1153 Air Base Boulevard, Montgomery, AL 36108	334-265-4544	\$96,845
East Central Mental Health Substance Abuse Program	A	200 Cherry Street, Troy, AL 36081	334-566-6022	\$3,734
Huntsville Madison County	A	4040 Memorial Parkway SW, Suite C,	256-	\$10,358

Mental Health Center		Huntsville, AL 35802	532-4141	
Lighthouse Counseling Center, Intensive Outpatient Unit	A	1415 East South Boulevard, Montgomery, AL 36116	334-286-5980	\$77,749
University of Alabama at Birmingham Substance Abuse Programs	A	401 Beacon Parkway West, Suite 270, Birmingham, AL 35209	205-917-3784	\$247,520

Status Key: [A] Active, [I] Inactive, [n/a] Not available, [P] Facility physically closed, [S] No substance abuse services provided, [U] Closed as duplicate of another facility.