

SAPT Block Grant Items

Information found on the state pages was downloaded from the [Web Block Grant Application System \(WebBGAS\)](#). State representatives entered their own information into the Uniform Application for the Substance Abuse Prevention and Treatment (SAPT) Block Grant forms found on the WebBGAS. Instructions for each of the questions that resulted in the data on each of the state pages on this site were:

Section II - Use of Substance Abuse Prevention and Treatment Block Grant Funds

Goal #6: HIV Services: Narrative Question

GOAL #6. An agreement, by designated States, to provide treatment for persons with substance abuse problems with an emphasis on making available within existing programs early intervention services for HIV in areas of the State that have the greatest need for such services and to monitor such service delivery (See 42 U.S.C. 300x-24(b) and 45 C.F.R. 96.128).

- FY 2005 (Compliance):
- FY 2007 (Progress):

Attachment E: Tuberculosis (TB) and Early Intervention Services for HIV

(See 45 C.F.R. 96.122(f)(1)(x))

For the fiscal year three years prior (FY 2005) to the fiscal year for which the State is applying for funds: Provide a description of the State's procedures and activities and the total funds expended (or obligated if expenditure data is not available) for tuberculosis services. If a "designated State," provide funds expended (or obligated), for early intervention services for HIV.

Examples of procedures include, but are not limited to:

- development of procedures (and any subsequent amendments), for tuberculosis services and, if a designated State, early intervention services for HIV, e.g., Qualified Services Organization Agreements (QSOA) and Memoranda of Understanding (MOU);
- the role of the single State authority (SSA) for substance abuse prevention and treatment; and
- the role of the single State authority for public health and communicable diseases.

Examples of activities include, but are not limited to:

- the type and amount of training made available to providers to ensure that tuberculosis services are routinely made available to each individual receiving treatment for substance abuse;
- the number and geographic locations (include sub-State planning area) of projects delivering early intervention services for HIV;
- the linkages between IVDU outreach (See 42 U.S.C. 300x-23(b) and 45 C.F.R. 96.126(e)) and the projects delivering early intervention services for HIV; and
- technical assistance.

Form 4 Substance Abuse State Agency Spending Report

Preparing to complete the Substance Abuse State Agency Spending Report (Form 4)

This form requires you to enter amounts of funds, by source, for each kind of activity. You will enter only funds flowing through the principal agency of the State that administered the SAPT Block Grant. Amounts must be entered in whole dollar amounts. Before you begin completing the form, do the following:

- Enter the State's name in the box at the upper **left**.
- Enter in the box at the upper **right** the dates of the State expenditure period you identified on the Face Page (Form 1).
- Read the instructions carefully.
- Study the definitions of the row and column headings.

How to complete Form 4

First review the definitions of the activities listed at the left. Then make sure you understand which fund sources are entered in column A and which ones are entered in columns B through F.

ROWS 1 THROUGH 5: ACTIVITIES

Rows 1 through 5 describe typical activities funded by the agency administering the SAPT Block Grant.

Note: Do not include expenditures for primary prevention in Row 1.

Row 1: Funds for Substance Abuse Prevention (other than primary prevention) and Treatment Services – Enter the amount of funds from the FY 2005 award for this purpose. This includes funds used for alcohol and drug prevention (other than primary prevention) and treatment activities. This also includes direct services to patients, such as outreach, detoxification, methadone detoxification and maintenance, outpatient counseling, residential rehabilitation including therapeutic community stays, hospital-based care, vocational counseling, case management, central intake, and program administration. Early intervention activities (other than primary prevention), substance abuse treatment and rehabilitation activities should be included as part of row 1. Do **not** include funds for administration cost in this row.

Row 2: Primary Prevention – This row collects information on primary prevention activities funded under the FY 2005 SAPT Block Grant. Primary prevention includes activities directed at individuals who do not require treatment for substance abuse. Such activities may include education, mentoring, and other activities designed to reduce the risk of substance abuse by individuals. Note that under the SAPT Block Grant statute, **early intervention activities should not be included as part of primary prevention.**

Row 3: Tuberculosis Services – This row collects information on tuberculosis services made available to individuals receiving treatment for substance abuse. Tuberculosis services include counseling, testing, and treatment for the disease. Funds made available from the grant to provide such services, either directly or through arrangements with other public or nonprofit private entities, should be recorded on row 3, column A.

Row 4: HIV Early Intervention Services – This row collects information on 1 or more projects established to make available early intervention services for HIV disease at the sites in which individuals are receiving treatment for substance abuse. Funds made available from the grant ≥ 2 percent ≤ 5 percent, to establish such projects should be recorded on row 4, column A. This row is applicable to those "designated States" whose rate of cases of acquired immune deficiency syndrome is equal to or greater than the case rate specified in the statute (see 42 U.S.C. 300x-24(b) and 45 C.F.R. 96.128). The case rate data, as indicated by the number of such cases reported to and confirmed by the Director of the Centers for Disease Control and

Substance Abuse & Prevention Treatment Block Grant Program, HIV Early Intervention Services

Prevention for the most recent calendar year for which such data are available, 1 refers to such data that is available on or before October 1 of the fiscal year for which the State is applying for a grant.

Row 5: Administration – This includes grants and contracts management, policy and auditing, personnel management, legislative liaison, and other overhead costs in large departments and agencies. For FY 2005, a maximum of 5 percent of the SAPT Block Grant may have been spent on administration at the State level. Do **not** account for administration at the program (or service provider) level on this row. Program level administration expenditures should be accounted for in Rows 1 - 4 above, as appropriate.

ROW 6: COLUMN TOTAL

Use this row to enter the total of Rows 1 through 5. The column A total amount should equal the amount of and may not exceed the FY 2005 SAPT Block Grant that appears on line 8 of the Notice of Block Grant Award (NGA).

COLUMN A: EXPENDITURES OF SAPT BLOCK GRANT

Use this column to record your State's use of FY 2005 SAPT Block Grant award. In column A, enter FY 2005 block grant funds that were spent on each activity. Remember to enter amounts in whole dollar amounts.

COLUMNS B THROUGH F: EXPENDITURES OF OTHER FUNDS

Use these columns to report on funds from other sources spent by the designated substance abuse agency **during the 12-month expenditure period you entered in the box. Thus, the time period on which you report here is different from the one covered by column A.** Here are the definitions for each column:

Column B: Medicaid – Enter the total of all Federal, State and local match Medicaid funds in this column.

Column C: Other Federal funds – This includes all other Federal funds for substance abuse that flow through the principal agency. Examples are HHS or other Federal categorical grant funds, Medicare, other public welfare funds such as Food Stamps (Title VIII), other public third party funds such as CHAMPUS, the Social Services Block Grant (Title XX), and the Maternal and Child Health Block Grant (Title V). Do not include Federal funds that go through other State offices/agencies or directly to providers.

Column D: State funds – This includes all State general funds or special appropriations administered by the principal agency, such as fines, fees, and earmarked taxes. This column provides an estimate of annual State funding.

Column E: Local funds – This includes appropriations from local government entities such as cities, other municipalities, special tax districts, and counties. Remember that local Medicaid match funds were reported in column B. Do not report them again here.

Column F: Other funds – This includes funds from all other sources such as patient fees, nonprofit private entities like the United Way and the Robert Wood Johnson Foundation, and private third party payers such as Blue Cross/Blue Shield, health maintenance organizations, and other commercial insurers. If your agency receives no local or other funds, enter zeroes in columns E and F.

HIV (MOE Table III): Statewide Non-Federal Expenditures for HIV Early Intervention Services to Substance Abusers in Treatment

5. Maintenance of Effort (MOE) Tables: (Single State Agency (SSA) MOE, TB MOE, HIV MOE, and Women’s Base and Expenditures).

Instructions and Forms for completing Tables I through IV

If the State uses BGAS, these forms are prepopulated with data reported in prior years. The State may request to remove this data by clicking the button on the relevant MOE form in Web-BGAS.

Table III

Table III is an MOE table that tracks all non-Federal funds spent on early intervention services for HIV provided to substance abusers in treatment at the site at which they receive substance abuse treatment during each SFY. If you use Web-BGAS, Web-BGAS will provide you with the appropriately configured table. If you plan to use the MS Word version, you must complete the generic table using the instructions below.

COMPLETE TABLE III ONLY IF YOUR STATE WAS A DESIGNATED STATE

1. If you are a designated State, enter the most recent Federal fiscal year in which your State became a designated State.
2. Enter State funds spent on early intervention services for HIV during the two years prior to the year you have identified in response to number 1 above in the chart.
3. Compute the average of the amounts. Enter the resulting average (MOE Base).
4. Enter State funds spent on early intervention services for HIV for State fiscal year 2007 (Maintenance).

The HIV MOE is met in State fiscal year 2007 if the Maintenance amount is equal to or greater than the MOE Base amount.

[1] The most recent data published by the CDC is in Table 14 “Reported AIDS cases and annual rates (per 100,000 population), by area of residence and age category, cumulative through [year]-United States” of the latest HIV/AIDS Surveillance Report. Single copies of the report are available through the CDC National Prevention Information Network, 1-800-458-5231 or 301-562-1098. Find the most up-to-date report online at <http://www.cdc.gov/hiv/topics/surveillance/resources/reports/index.htm>.”